



3624

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL FORM

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		Application Number	09/584,045
		Filing Date	May 30, 2000
		First Named Inventor	Andrew Hausman
		Group Art Unit	3624
		Examiner Name	Sandra S. Snapp
Total Number of Pages in This Submission		Attorney Docket Number	3524/14

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Supplemental Response and Second Supplemental Response to October 1, 2003 Office Action; and Return postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Frank J. DeRosa, Reg. No. 26,543 Brown Raysman Millstein Felder & Steiner LLP
Signature	
Date	4-23-04

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Patents
3524/014

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Andrew Hausman

Application No.: 09/584,045

Filed: May 30, 2000

For: ELECTRONIC TRADING SYSTEM FOR ELECTRICITY
FORWARDS

Examiner: Sandra S. Snapp Group Art Unit: 3624

Hon. Commissioner for Patents
P.O. Box 1450
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Sir:

Applicant respectfully submits this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98. Enclosed are an Information Disclosure Citation List, and a copy of each document listed therein. The Examiner is requested to consider the enclosed documents, make them of record, and indicate her consideration of the documents by initialing the enclosed Citation List adjacent the citation of each document. Please return a copy of the initialed Citation List to Applicant's undersigned attorney.

Please charge the fee of \$180 required by 37 C.F.R. §§ 1.97(c) &1.17(p) to Deposit Account 02-4270. Please charge any additional fee required to consider this Information Disclosure Statement or to continue prosecution of this application to Deposit Account 02-4270.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Frank J. DeRosa".

Frank J. DeRosa
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Dated: April 23, 2004

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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	09/584,045
				Filing Date	May 30, 2000
				First Named Inventor	Andrew Hausman
				Group Art Unit	3624
				Examiner Name	Sandra S. Snapp
Sheet	1	of	2	Attorney Docket Number	3524/14



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 2

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FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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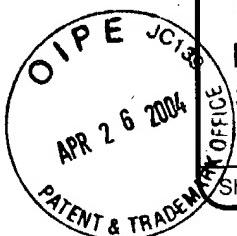
¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known	
Application Number	09/584,045
Filing Date	May 30, 2000
First Named Inventor	Andrew Hausman
Group Art Unit	3624
Examiner Name	Sandra S. Snapp
Attorney Docket Number	3524/14

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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